

# NexGen Capital

## Advisors Consultants Investors

### **PRESS RELEASE**

#### ***Kathleen M. Scafide Joins NexGen Capital as Vice President and Chief Compliance Officer***

CHICAGO, ILLINOIS – November 6, 2009 – NexGen Capital Partners, LLC (**Company**) announced today that Kathleen M. Scafide has joined NexGen Capital Advisors, LLC, NexGen Capital Consultants, LLC and NexGen Capital Investors, LLC (collectively, **NexGen Affiliates**) serving as Vice President and Chief Compliance Officer and is responsible for all compliance activities.

Lawrence C. Manson, Jr., Chairman and Chief Executive Officer of the Company, said, “Kathy is a very important addition to our team. Her twelve years of experience in all areas of broker/dealer and investment advisor compliance, as well as her knowledge of the Financial Industry Regulatory Association (**FINRA**) regulations, are especially relevant to our business. We are quite pleased to have Kathy on board.”

Ms. Scafide has 12 years of experience in securities compliance and regulation. She has worked in all areas of broker/dealer and investment adviser compliance including: developing policies and procedures, providing direction to registered representatives on compliance and operational issues, implementing and tracking required training and assessing the firms’ financial and operational conditions and compliance with FINRA, the Securities and Exchange Commission and New York Stock Exchange Rules. Ms. Scafide most recently served as Compliance Officer at Waterstone Financial Group, Inc. (**Waterstone**) and was responsible for developing and implementing procedures and quality reviews as well as compliance programs across the company’s branch offices.

Prior to Waterstone and for nine years, Ms. Scafide was a Compliance Specialist at FINRA. She performed examinations on FINRA member firms, provided guidance and instruction to FINRA members and monitored the financial and operational condition for a portfolio of firms. Ms. Scafide has held other roles including Finance Manager at Metro Computers, Inc.

Ms. Scafide received a BS degree in finance from Northeastern Illinois University and an MBA degree in finance from Loyola University Chicago. She is Series 24, 7, 79 and 51 licensed.

#### ***About NexGen Capital Partners***

The Company provides value-added investment advice and advisory services to institutional and individual investors and has a social responsibility commitment to direct investment capital to community development and small businesses to help stimulate job creation and retention and stabilize communities. The Company has the following three operating subsidiaries committed to these efforts:

- **NexGen Capital Advisors** is a broker/dealer formed as a Delaware limited liability company and a member of the Financial Industry Regulatory Authority and the Securities Investor Protection Corporation, serving as a placement agent for Small Business Investment Companies and other sponsors of structured investment products.
- **NexGen Capital Consultants** is a niche consulting firm formed as a Delaware limited liability company, offering a range of services including the following areas of expertise, corporate finance advisory, capital markets advisory, Community Reinvestment Act advisory and strategic planning.
- **NexGen Capital Investors** is a registered investment adviser formed as a Delaware limited liability company, operating under the Investment Advisers Act of 1940 and the regulations and rules of the State of Illinois, providing investment advice primarily to institutional investors, including endowments, family offices, financial institutions, foundations and pension funds.

For more information about the Company, visit [www.nexgencp.com](http://www.nexgencp.com).

Media Contact:

#### ***NexGen Capital Partners***

Mary Dwyer Pembroke  
Senior Managing Director  
Washington, DC  
202.683.6105  
202.683.6106 fax  
[mpembroke@nexgencp.com](mailto:mpembroke@nexgencp.com)